LEGAL ETHICS AND SOCIAL JUSTICE
Law 537.001
3 Credit Hours
Spring 2020 – Thursdays 9:50-11:50 AM, Room 3080

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Office Location: 3060 (clinical suites)
Office Hours: available by appointment or drop by anytime

I. COURSE TOPICS & LEARNING OUTCOMES

This course explores the relationship between social justice and lawyers’ ethics. We will examine theoretical and practical questions related to the core duties of the profession. Our focus will be how these duties work in tandem or in tension with social justice commitments.

The Law School has adopted a defined set of learning outcomes. Of these, the learning outcomes most relevant for this course are the following:

- Students shall be able to identify and think critically about professional and ethical responsibilities that arise in practice including crucial issues facing the legal profession, such as ensuring dedicated and effective representation for different groups and cultures.

- Students shall be able to exercise other professional skills needed for well-rounded, competent, and ethical participation as members of the legal profession, including, but not limited to, applying legal and other scholarship to understand and affect legal policy.

II. COURSE MATERIALS & CREDIT

All required reading materials and links to online sources will be available on the Sakai website. No casebook or course pack is required. Supplemental books are on reserve in the library as optional resources for your seminar paper.

Each student may choose to earn rigorous writing experience (“RWE”) credit or professional responsibility (“PR”) credit. Every student will be required to write a paper that complies with the Law School’s RWE requirements.

III. CLASS PREPARATION AND PARTICIPATION

In this small seminar, preparation and participation are not only mandatory but also essential to creating a rich experience for everyone. Preparation requires careful reading of the material. I generally recommend taking notes on the reading to help you synthesize and evaluate the texts and the ideas presented. The course meets for only two hours per week but awards three credits,
because students are expected to do more reading, research, and writing than in other classes.

**Reading Responses.** For weeks 2 through 11 of the course, as part of your preparation, you will complete a short Reading Response of 1-2 pages. Unless otherwise indicated, each Reading Response should:

- Summarize in your own words the main points of each reading. You should include one or two paragraphs for each article or excerpt. (You are not required to include a summary of assigned ABA Model Rules, but you should come to class prepared to discuss them.)
- Identify 3 questions for discussion.

Reading Responses are due via e-mail to me by 9:00 AM on the Wednesday morning the day before the Thursday class in which we will be discussing the readings.

**Paper Workshops.** During the final two classes, we will conduct workshops of your own research papers. In preparation, in place of the traditional reading responses, you will read your colleagues’ first drafts and will send them individualized feedback. Guidelines on the workshops and feedback are provided as an attachment to the Syllabus.

**Electronic Devices and Other Distractions.** Laptops are generally permitted in this course, but I may sometimes require all students to put them away to facilitate discussion. When laptop use is permitted, it is to be for coursework only. If I find laptops are inhibiting discussion or causing a distraction, I reserve the right to restrict their use for individuals or the whole class. Electronic communications and side conversations are prohibited. All cell phones and comparable electronic devices must be put away. If you are expecting an urgent call or text message (related to childcare, medical issues, etc.), please talk to me before class. Violation of this policy may result in adjusting a student’s final grade downward.

Preparation and participation will be taken into account in determining final grades. In evaluating preparation and participation, I look for close reading of the assigned texts, thoughtful engagement with the ideas presented, and nuanced responses to the ideas of other participants. If you are not as comfortable speaking in class as you would like, please come talk with me, so I can help you develop this skill.

**IV. PAPER DEVELOPMENT SCHEDULE**

Each student enrolled in this course must complete an original research paper of approximately 25-30 pages (including footnotes). You will choose the topic for your paper, in consultation with me. Here are the deadlines for the components of the seminar paper:

- February 6 - Abstract Due (one-page summary of proposed topic)
- February 7-17 - Meet with me regarding your topic. (This meeting is mandatory, but I am also happy to meet on additional occasions.)
- February 28 - Detailed Outline Due
- March 20 - First Drafts Due for half of the class (via Sakai to the class)
• March 27 - First Drafts Due for the other half of the class (via Sakai to the class)
• April 2 - Paper Workshops for half of the class
• April 9 - Paper Workshops for the other half of the class
• May 1 - Final Paper Due (via email to me)

V. GRADING

Your course grade will be based on preparation, participation, and the seminar paper, weighted using the following general rubric:

<table>
<thead>
<tr>
<th>ITEM</th>
<th>PERCENT</th>
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<tr>
<td>Class Preparation and Participation</td>
<td>25</td>
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<tr>
<td>Class Participation</td>
<td>10</td>
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<tr>
<td>Reading Responses</td>
<td>15</td>
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<tr>
<td>Seminar Paper</td>
<td>75</td>
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<td>Outline</td>
<td>5</td>
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<tr>
<td>First Draft</td>
<td>10</td>
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<td>Final Draft</td>
<td>60</td>
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VI. ATTENDANCE AND HONOR CODE

Attendance. You are expected to attend all class sessions and to arrive on time. Each class, I will send a sign-in sheet around the room. Please sign in or you will be marked absent. If you are late, you may sign in, but please indicate the time when you arrived. I reserve the right to adjust any student’s final grade downward for unexcused absence or lateness. In addition to my attendance policy, the Law School has an attendance policy that requires students to attend 80% of class sessions to receive any credit for the course.

The Honor Code is in effect in this course and all others at the University. I am committed to treating Honor Code violations seriously and encourage all students to become familiar with the Code’s terms set out at http://instrument.unc.edu. If you have any questions, it is your responsibility to ask me about the Code’s application. All written work must be submitted with a statement that you have complied with the requirements of the Honor Code in all aspects.

VII. DISCRIMINATION, HARASSMENT & ACCOMMODATION POLICIES

Acts of discrimination, harassment, interpersonal (relationship) violence, sexual violence, sexual exploitation, stalking, and related retaliation are prohibited at UNC-Chapel Hill. If you experience or have experienced any of the prohibited behavior listed above, you are encouraged by the University, but not required, to report the incident or incidents and to seek out campus and/or community resources. Confidential resources include Counseling and Psychological Services (CAPs) in Campus Health Services at (919) 966-3658, or the Gender Violence Services Coordinator (Holly Lovern, holly.lovern@unc.edu). You may also contact the Director of Title IX Compliance / Title IX Coordinator (Adrienne Allison, adrienne.allison@unc.edu), Report and Response Coordinators (Ew
Quimbaya-Winship, eqw@unc.edu; Rebecca Gibson, rmgibson@unc.edu; Kathryn Winn kmwinn@unc.edu) to discuss your needs. Additional resources and other information can be found at safe.unc.edu.

The University of North Carolina at Chapel Hill facilitates the implementation of reasonable accommodations, including resources and services, for students with disabilities, chronic medical conditions, a temporary disability or pregnancy complications resulting in difficulties with accessing learning opportunities. All accommodations are coordinated through the Accessibility Resources and Service Office. Please see the ARS Website for contact information: https://ars.unc.edu or email ars@unc.edu. Relevant policy documents as they relation to registration and accommodations determinations and the student registration form are available on the ARS website under the About ARS tab.

**SEMINAR SCHEDULE**

The readings will be posted on Sakai each Friday.

1. January 9: Professional Ethics
2. January 16: Neutral Partisanship
3. January 23: Confidentiality
4. January 30: Counseling
5. February 6: Conflicts of Interest
6. February 13: Solicitation and Advertising
7. February 20: Fees
8. February 27: Access to Justice
9. March 5: Rationing Lawyers
10. March 19: Special Problems in Criminal Law
11. March 26: Special Problems in Organizational Settings
12. April 2: Student Paper Workshops
13. April 9: Student Paper Workshops

For deadlines on the Reading Responses and the components of your seminar paper, please refer back to Sections III and IV above. If you have any questions about the requirements or any other aspect of the course, please do not hesitate to come talk with me.
Guidelines for Papers, Peer Feedback & Workshops - LESJ

Timeline Reminders
- February 6 – Abstracts Due
- February 28 – Outlines Due
- March 20 - First Drafts Due for Group 1 (circulated via Sakai to the class)
- March 27 - First Drafts Due for Group 2 (circulated via Sakai to the class)
- April 2 - Paper Workshops for Group 1 & Peer Feedback Due on Group 1 Papers
- April 9 - Paper Workshops for Group 2 & Peer Feedback Due on Group 2 Papers
- May 1 - Final Papers Due (via email to me)

Abstracts
- 1-page summary of paper topic
- Should identify the primary question the paper tackles and, if possible, your thesis.
- Should make clear how the topic relates to social justice and legal ethics.
- If your paper will be based heavily on one or two sources, or you are borrowing terminology from particular sources, please identify those sources (but you do not need to identify all your sources at this time)

Outlines
- Please use a traditional structure of numbers and letters.
- Please use full sentences, rather than short-hand phrases, to explain the main contents.
  Heading and subheading titles can be listed as short phrases, but please explain the main content of the outline in full sentences so I can be certain to understand what you have in mind.
- Please include references to the key sources you plan to use. You do not need to identify all your sources but should identify the main ones.
- Sources should be referenced in footnotes. Please use the Bluebook as a style guide for the footnotes.
- Outlines should generally be 2 pages minimum length.
- Give your paper a title. (Even if you revise it later, a working title can be helpful.)

1 On the Sakai site for our course, you can circulate materials to the class using the message function and selecting “All Participants” as the recipient. Please include your paper draft as an attachment to your message.
2 Guidelines for workshops and peer feedback are provided below.
Papers

- **Structure**
  - Start with an introduction that lays out the question you will examine and provides readers with a roadmap of the paper. End the paper with a brief conclusion that summarizes the main points the paper makes. The conclusion doesn’t need to answer all questions raised in the paper; it may highlight the intractability of certain problems or identify new questions for future research.
  - Review your work for organization, clarity, depth of analysis, and nuanced consideration of counterarguments. Pay attention to topic sentences; check that each paragraph has a first sentence that highlights for the reader what will be the topic of that paragraph.
  - Include a table of contents in the beginning of the paper, listing the paper’s sections with corresponding page numbers. Please include page numbers on the bottom of all pages.

- **Length**
  - First drafts should be approximately 20-25 pages.
  - Final papers should be 25-30 pages.
  - Pages should be double-spaced, and the page count includes footnotes. This usually works out to be approximately 250 words per page.

- **Sources**
  - Finding Additional Sources: I have placed several books on course reserve, and you should feel free to use them as resources to find references to additional sources. If you have any trouble finding more sources relevant to your particular topic, I highly recommend reaching out to a research librarian for search suggestions.
  - Citing Sources: Please cite sources using the footnote format that the Bluebook provides for law review articles.

**Peer Feedback Guidelines**

You will give your colleagues written and oral feedback on their first drafts of their papers. The oral feedback will be provided through Questions & Answers during the workshops. You will provide written feedback in advance of the workshops. You will give individual written feedback to the author of each paper. Authors will not have time to read the written feedback prior to the workshops but will make use of it during the next stage of editing.

- Please send your written feedback on first drafts individually to each author and copy me (through Sakai or by email, whichever is easier for you).
- Written feedback should be sent prior to class on the day of the paper workshop (or sooner).
- The written feedback may be short and can be relatively informal, but it should be written with the goal of identifying strengths of the paper as well as areas for improvement. For each paper, your written feedback should:
  - identify at least 2 strengths
  - include at least 2 suggestions for improvement
  - address both the form and the content of the paper
Workshop Guidelines

Each paper author will be allotted 3-5 minutes to present the main ideas of the paper and 15 minutes for questions and answers, with discussion. Because everyone will have read the papers in advance, the presentation portion should be used to provide a short overview and remind the audience of the basic argument of the paper. You may also use this opportunity to highlight any issues on which you’d particularly appreciate feedback or assistance from the group. We will use the remainder of the time for readers to raise questions and discuss the ideas in the paper.

*If you have any questions, please do not hesitate to ask me for help!*

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3 These times may be adjusted for the number of students in the course. I will let you know in advance if so.
READING ASSIGNMENTS – LESJ Spring 2020

Reading Assignment for First Class - Professional Ethics:

1. Rhode, Luban & Cummings, *The Concept of a Profession* excerpt, pp. 35-36 (on Sakai)
2. Abel, *Why Does the ABA Promulgate Ethical Rules?* excerpt, pp. 18-24 (on Sakai)
3. Halliday, *Beyond Monopoly: Lawyers, State Crises, and Professional Empowerment* excerpt, pp. 25-26 (on Sakai in same PDF as Abel reading)
4. ABA Model Rules of Professional Conduct: [Preamble: A Lawyer’s Responsibilities](https://www.abanet.org/aba/ethics/code_of_conduct.html). The ABA Model Rules are available on the [ABA website](https://www.abanet.org/aba/). Please read the Preamble to the Rules.
5. ABA Model Rules of Professional Conduct: [Table of Contents](https://www.abanet.org/aba/ethics/code_of_conduct.html). Review the headings and titles of the rules to develop familiarity with the topics covered. (You are not expected to read the text of the rules for today’s assignment.)

Reading Assignment for Second Class - Neutral Partisanship:

2. Wilkins, *Identities and Roles: Race, Recognition, and Professional Responsibility* excerpts, pp. 1502-25
3. ABA Model Rule 1.2 and accompanying comments

Reading Response (due Jan. 15th at 9 AM)

- For the Luban & Wendell reading, focus your response on the concept of neutral partisanship. Your response should:
  - Explain in your own words what “role morality” means;
  - Explain in your own words what the “neutral partisanship” model is;
  - Identify the primary critique of the neutral partisanship model; and
  - Articulate the key defenses of that model that the authors provide.

- For the Wilkins reading, your response should:
  - Explain in your own words the ideal of “bleached out professionalism”; and
  - Explain in your own words the “representing race” model of lawyering.

- You are not required to address the Model Rule in your response, but please come to class prepared to discuss it.

Reading Assignment for Third Class - Confidentiality:

1. ABA Model Rule 1.6 and accompanying comments

Please note that your reading responses do NOT need to summarize the Model Rule, only the secondary literature. In general, reading responses do not need to summarize assigned rules unless otherwise indicated. As a reminder, please include three discussion questions in your responses.
Reading Assignment for Fourth Class - Counseling:

1. ABA Model Rule 1.2(a) – We read the Rule earlier so just review this subpart.
2. ABA Model Rule 2.1 and accompanying comments
3. Simon, *Lawyer Advice and Client Autonomy: Mrs. Jones’s Case*
4. Smith, *The Lawyer’s “Conscience” and the Limits of Persuasion*

Reading Assignment for Fifth Class - Conflicts:

1. ABA Model Rules
   - Rule 1.7: Current Clients. Please read the text of the rule.
   - Rule 1.8: Current Clients: Specific Rules. Please skim most of the rule but read the text of 1.8(e) and Comment 10.
   - Rule 1.9: Former Clients. Please skim the rule.
   - Rule 1.10: Imputation of Conflicts. Please skim Rule 1.10(a).
   - Rule 6.5: Non-Profit and Court-Annexed Limited Legal Services Programs: Please read the rule.
2. Derrick Bell, *Serving Two Masters: Integration Ideals and Client Interests in Desegregation Litigation*, excerpt pp. 470-482, 489-493

Reading Assignment for Sixth Class – Advertising and Solicitation:

1. ABA Model Rules
   - Rule 7.1: Please read this rule.
   - Rule 7.2: Please skim the rule.
   - Rule 7.3: Please read this rule.
2. Jerold Auerbach, *A Stratified Profession*, pp. 79-84

Reading Response

- Please identify the main points of the Auerbach reading.
- Summarize just the facts of the *Bates* case.
- For the *Primus* case, please provide a brief summary of the facts, the holding, and the reasons given for the holding.
Reading Assignment for Seventh Class – Fees:

Last week, we explored whether the presence of a fee means the work is not in the public interest and whether the absence of a fee means the work necessarily is in the public interest. This week we will consider whether and how public interest lawyers can earn a living in the market for services.

1. ABA Model Rule 1.5 & Comments 3 & 5
2. ABA Model Rule 6.1 & all comments (Note we will not discuss all the comments in this class session, but reading them will provide useful background. We will also return to this rule later in the semester.)

Reading Assignment for Eighth Class – Access to Justice:

4. Optional: ABA Model Rules
   - Rule 1.16 (Declining or Terminating Representation)
   - Rule 4.3 (Dealing with Unrepresented Person) & Comments
   - Rule 5.5 (Unauthorized Practice of Law)
   - Rule 6.2 (Accepting Appointments) & Comments

Reading Response

- Please address only the *Turner* case and the Blasi reading in your response.
- For the *Turner* case, please identify the Court’s ruling and the key factors it highlighted as determinative of that ruling. (Please be aware that Westlaw’s summary of the holding is of limited value.)
- For the Blasi reading, please focus on the concepts of substantive justice and objective justice, explaining in your own words what the author means by each.
- Remember to include your three discussion questions!
**Reading Assignment for Ninth Class – Rationing Lawyers:**

1. Paul Tremblay, “Acting a Very Moral God”: Triage Among Poor Clients, selected pages
4. ABA Model Rules
   - Rule 1.16 & Comments (Declining or Terminating Representation)
   - Rule 6.2 & Comments 1, 7, 8 (Accepting Appointments)

**Reading Assignment for Tenth Class – Special Problems in Criminal Law:**

1. David Luban, A Good Prosecutor Throws a Case, pp. 1-4
3. ABA Model Rules
   - Rule 3.1 (Meritorious Claims and Contentions) - Please read the text of the rule.
   - Rule 3.8 (Special Responsibilities of a Prosecutor) - Please read the text of the rule and the first two sentences of Comment 1.
   - Rule 5.1 (Responsibilities of a Partner or Supervisory Lawyer) – Please skim this rule.
   - Rule 5.2 (Responsibilities of a Subordinate Lawyer) – Please read the text of the rule and read the accompanying comments.
4. Optional: Angela Davis, Prosecution and Race: The Power and Privilege of Discretion, pp. 245-257. This piece explains in more detail the kinds of decisions that prosecutors make and offers another perspective on how prosecutors could pursue justice. It emphasizes how they could use their power to reduce race discrimination in the criminal justice system. You are NOT required to read this article, but it will be available on Sakai for those who would like the additional information.

*Your reading response should summarize the Luban and Butler materials, not the optional pieces.*

**Reading Assignment for Eleventh Week – Special Problems in Organizational Settings:**

1. ABA Model Rule 1.13 (Organizational Clients) – Please skim this rule.
2. David Luban, Making Sense of Moral Meltdowns, pp. 355-370